

## Lecture 12

Today: (1) Intro to Digital Communications

- HW 5 due Thursday at the start of class.
- Reading – Today: Molisch 11, MUSE video. Thu: Molisch 12.1.

# 1 Digital Communications: Overview

My six word summary of digital communications: **Use linear combinations of orthogonal waveforms.**

## 1.1 Orthogonal Waveforms

My “engineering” definition of a set of orthogonal waveforms: They are waveforms that can be separated at the receiver.

**Def’n:** *Orthogonal*

Two real-valued waveforms (finite-energy functions)  $\phi_1(t)$  and  $\phi_2(t)$  are *orthogonal* if

$$\int_{-\infty}^{\infty} \phi_1(t)\phi_2(t)dt = 0$$

Two complex-valued waveforms  $\phi_1(t)$  and  $\phi_2(t)$  are orthogonal if

$$\int_{-\infty}^{\infty} \phi_1(t)y^*(t)dt = 0$$

where  $y^*(t)$  is the complex conjugate of  $\phi_2(t)$ .

**Def’n:** *Orthogonal Set*

$N$  waveforms  $\phi_1(t), \dots, \phi_N(t)$  are mutually orthogonal, or form an orthogonal set, if every pair of waveforms  $\phi_i(t), \phi_j(t)$ , for  $i \neq j$ , is orthogonal.

**Example: Sine and Cosine**

Let

$$\begin{aligned}\phi_1(t) &= \begin{cases} \cos(2\pi t), & 0 < t \leq 1 \\ 0, & o.w. \end{cases} \\ \phi_2(t) &= \begin{cases} \sin(2\pi t), & 0 < t \leq 1 \\ 0, & o.w. \end{cases}\end{aligned}$$

Are  $\phi_1(t)$  and  $\phi_2(t)$  orthogonal?

**Example: Frequency Shift Keying**

Assume  $T_s \gg 1/f_c$ , and show that these two are orthogonal.

$$\begin{aligned}\phi_0(t) &= \begin{cases} \cos(2\pi f_c t), & 0 \leq t \leq T_s \\ 0, & \text{o.w.} \end{cases} \\ \phi_1(t) &= \begin{cases} \cos\left(2\pi \left[f_c + \frac{1}{T_s}\right] t\right), & 0 \leq t \leq T_s \\ 0, & \text{o.w.} \end{cases}\end{aligned}$$

**1.2 Linear Combinations**

What is a linear combination of orthogonal waveforms? Well, consider the orthogonal set  $\phi_1(t), \dots, \phi_N(t)$ . A linear combination  $s_i(t)$  is

$$s_i(t) = a_{i,1}\phi_1(t) + a_{i,2}\phi_2(t) + \dots + a_{i,N}\phi_N(t) = \sum_{k=1}^N a_{i,k}\phi_k(t)$$

We also call the a linear combination a *symbol*. We use subscript  $i$  to indicate that it's not the only possible linear combination (or symbol). In fact, we will use  $M$  different symbols, so  $i = 1, \dots, M$ , and we will use  $s_1(t), \dots, s_M(t)$ .

We represent the  $i$ th symbol (linear combination of the orthogonal waveforms),  $s_i(t)$ , as a vector for ease of notation:

$$\mathbf{a}_i = [a_{i,1}, a_{i,2}, \dots, a_{i,N}]^T$$

The superscript  $T$  is for transpose –  $\mathbf{a}_i$  is a column vector. Vectors are easy to deal with because they can be plotted in vector space, to show graphically what is going on. We call the plot of all possible  $\mathbf{a}_i$ , that is, for  $i = 1, \dots, M$ , the *constellation diagram*. Some examples are shown in Figure 1.

**1.3 Using  $M$  Different Linear Combinations**

Here is how a transmitter *uses* the different linear combinations to convey digital bits to the receiver. First, consider that there are  $M$  different symbols for the TX to chose from. Each symbol is described by a  $\log_2 M$ -length bit sequence. For example, if there are 8 possible combinations, we would label them 000, 001, 011, 010, 110, 111, 101, 100.

The transmitter knows which  $\log_2 M$ -bit sequence it wants to send. It picks the symbol that corresponds to that bit sequence, let's call it symbol  $i$ . Then it sends  $s_i(t)$ .

If the receiver is able to determine that symbol  $i$  was sent, it will correctly receive those  $\log_2 M$  bits of information. In this example, it will receive three bits of information.

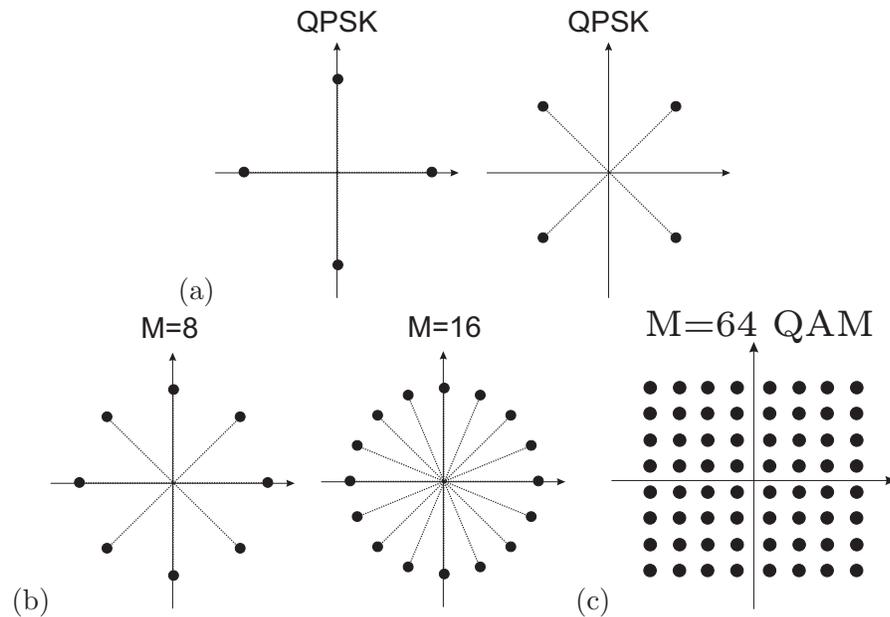


Figure 1: Signal constellations for (a)  $M = 4$  PSK (a.k.a. BPSK), (b)  $M = 8$  and  $M = 16$  PSK, and (c) 64-QAM.

## 1.4 Reception

At a receiver, because we've used an orthogonal set, we can determine how much of each waveform was sent. This can be done using a bank of matched filters. In short, we can recover an attenuated  $\mathbf{a}_i$  plus noise. We might write  $\mathbf{x} = g\mathbf{a}_i + n_i$  where  $g$  is the total gain ( $g \ll 1$ ) introduced by the channel and antennas, and  $n_i$  is the random additive noise added in at the receiver. Assume we have receiver gain that multiplies the received filter by  $1/g$  (to cancel out  $g$ ). If the resulting  $\hat{\mathbf{a}}_i = \mathbf{x}/g$  is close enough to the actual transmitted signal  $\mathbf{a}_i$ , then the correct bit string is received.

How does the receiver compute the  $\hat{\mathbf{a}}_i$ ? It does this using a matched filter receiver. A matched filter receiver has one bank for each orthogonal waveform (the  $\phi_1(t), \dots, \phi_N(t)$ ). Bank  $k$  has a filter that quantifies how much of  $\phi_k(t)$  is present in the received signal. Because the waveforms are orthogonal, the job is simple. An analogy would be to a really good division of labor between members of a team – filter  $k$  comes up with the answer for waveform  $k$ , without being distracted by the other waveforms which may or may not be present in the received signal.

## 1.5 How to Choose a Modulation

A *digital modulation* is the choice of: (1) the linear combinations  $\mathbf{a}_1, \dots, \mathbf{a}_M$  and, (2) the orthogonal waveforms  $\phi_1(t), \dots, \phi_N(t)$ . We will choose a digital modulation as a tradeoff between the following characteristics:

1. Bandwidth efficiency: How many bits per second (bps) can be sent

per Hertz of signal bandwidth. Thus the bandwidth efficiency has units of bps/Hz.

2. Power efficiency: How much energy per bit is required at the receiver in order to achieve a desired fidelity (low bit error rate). We typically use  $S/N$  or  $E_s/N_0$  or  $E_b/N_0$  as our figure of merit.
3. Cost of implementation: Things like symbol and carrier synchronization, and linear transmitters, require additional device cost, which might be unacceptable in a particular system.